Abstract—We develop a set of scalar integral equations that govern the electromagnetic scattering from a two-dimensional (2-D) trough in an infinite perfectly conducting ground plane. We obtain accurate and efficient numerical solution to these equations via the method of moments (MoM). Our numerical implementation compares favorably to popular methods such as the finite element/boundary integral (FE/BI) method, generalized network formulation (GNF), and electric field integral equation (EFIE) techniques.

Index Terms—Electromagnetic scattering, ground plane.

I. INTRODUCTION

Integral equations have been used in a variety of ways to formulate the scattering from an indentation in a perfectly conducting ground plane. The first and most widely used technique, the generalized network formulation (GNF) proposed by Harrington and Mautz [1], is based on the surface equivalence principle, in turn based on the vector Green’s theorem [2]. The GNF is relatively simple to derive and implement, but it suffers from the problem of spurious resonances at the eigenfrequencies of the indentation. This phenomenon has been noted by several authors [3]–[5]. Hansen and Yaghjian [6] studied low-frequency scattering from a two-dimensional (2-D) trough in a ground plane, but their results are not applicable to resonant-sized and larger troughs. For large cavities, ray-based methods have been employed [7], [8], but are not valid for resonant-sized and smaller geometries. Finally, field-iterative methods [9], [10] have been pursued for large cavity scattering problems, but their accuracy is questionable for resonant-sized geometries.

Asvestas and Kleinman [11] developed a set of coupled vector integral equations for a three-dimensional (3-D) unfilled cavity-backed aperture in a perfectly conducting ground plane. They claimed, but did not prove that these integral equations are uniquely solvable at all frequencies. Recently, this approach was generalized to handle cavities filled with a homogeneous material [12]. In this paper, we derive a related set of coupled scalar integral equations for a material-filled 2-D trough in a ground plane. We also present numerical results that show these integral equations are immune to spurious resonances.

We organize the paper as follows. In Section II, we introduce the geometry and define the basic quantities. In Section III, we derive the scalar integral equations. Finally, in Sections IV and V, we present our numerical implementation and results and compare them to those of hybrid finite element/boundary integral (FE/BI), GNF, and electric field integral equation (EFIE) techniques.

II. NOTATIONS & CONVENTIONS

The geometry is shown in Fig. 1. The aperture $\sigma$, its complement in the $xy$-plane $\sigma^c$, the cavity surface $S$, and the cavity volume $D$ are defined as in [11]. The subscript $i$ on a quantity denotes its image across the ground plane. The upper half-space is filled with free-space while $D$ is filled with material characterized by constant scalar permittivity $\varepsilon_1$ and permeability $\mu_1$.

Known incident fields $\vec{E}^{\text{inc}}$ and $\vec{H}^{\text{inc}}$ impinge on the open cavity, giving rise to the scattered fields $\vec{E}^{\text{scat}}$ and $\vec{H}^{\text{scat}}$ and the reflected fields $\vec{E}^{\text{ref}}$ and $\vec{H}^{\text{ref}}$ (the fields scattered by an unbroken ground plane). The scattered fields represent a perturbation due to the presence of the cavity. The fields satisfy the same boundary and radiation conditions as in [11]. In addition, we note that the tangential field components are continuous across $\sigma$.

We employ the half-space 3-D scalar Green’s functions defined in [11], altered for the $\exp(jk \rho')$ time convention. That is, $G_D = G(\vec{r'}, \vec{r}) - G(\vec{r'}, \vec{r}'_0)$ and $G_N = G(\vec{r'}, \vec{r}) + G(\vec{r}, \vec{r}')$, with $G(\vec{r}, \vec{r'}) = \exp(-jk R)/(4\pi R)$, and $R = |\vec{r} - \vec{r'}|$, Paralleling [11], we define the dyadic functions $\vec{G} = -jk \nabla G \times \hat{\vec{r}}$, $\vec{G}_1 = -jk (\nabla G_N \times \hat{\vec{r}} + \nabla G_D \times \hat{\vec{z}})$ and $\vec{G}_2 = -jk (\nabla G_D \times \hat{\vec{r}} + \nabla G_N \times \hat{\vec{z}})$, with $\vec{I} = \hat{\vec{x}} + \hat{\vec{y}} + \hat{\vec{z}}$.

Fig. 1. The geometry of a cavity-backed aperture. The figure shows a 2-D cross-sectional view, with regions and surfaces defined.
and $\vec{I}_1 = \hat{x}x + \hat{y}y$. We note that these equations are valid in two dimensions if we make use of the identity
\begin{equation}
\int_{-\infty}^{\infty} e^{-jkR} \frac{1}{4\pi R} \, dz = \frac{1}{4j} \, H_0^{(2)}(k|\vec{p} - \vec{p}'|) \equiv G^{(2D)}(\vec{p}, \vec{p}') \tag{1}
\end{equation}
where $H_0^{(2)}$ is the Hankel function of the second kind and $\vec{p}' = \vec{p} - \vec{I}_1$.

III. Theory and Applications

In this section, we present our main theorems.

**Theorem 1:** Let $V$ be a cylinder parallel to $\hat{x}$ with cross section $D \subset R^2$. Assume that $\partial D$ is piecewise smooth. Let $\hat{n}$ be the outward unit normal vector on $\partial D$ and $G = G^{(2D)}$. If $\vec{A} = A(y, z)$ satisfies the homogeneous wave equation $\forall \vec{p} \in D$, then
\begin{equation}
\int_{\partial D} \hat{n} \cdot [\vec{A} \times (\nabla \times \vec{A})] + (\nabla \times \vec{A}) \cdot \vec{I} \, d\ell = \begin{cases} jk\nabla' \times A(\vec{p}') & \vec{p}' \in D \\ 0 & \vec{p}' \notin D \cup \partial D. \end{cases} \tag{2}
\end{equation}

**Proof:** Restrict $\vec{p}' \in \sigma$ so that $\vec{p}'$ lies in the $yz$-plane. Let $\vec{p} = (x, y, z) \in D, -\infty < x < \infty$. Denote $f = -\hat{n} \cdot [\vec{A} \times \vec{I}^{(2D)}] + (\nabla \times \vec{A}) \cdot \vec{I}^{(2D)}$. By (17) of [12],
\begin{equation}
\int_{\partial V} f \, ds = \lim_{X \to \infty} \left( \int_{-X}^{X} \int_{\partial D} f \, dl \, dx + \int_{(D, X)} f \, dl \right) = I_1 + I_2 + I_3
\end{equation}
which equals the right-hand side of (2). Since $A \in C^4(V)$ and $G \sim 1/|x|$ as $|x| \to \infty$, it is easy to show that $I_2 = I_3 = 0$. On the other hand
\begin{equation}
I_1 = \int_{-\infty}^{\infty} \int_{\partial D} f \, dl \, dx = \int_{\partial D} \int_{-\infty}^{\infty} f \, dl \, dx
\end{equation}
which is the left-hand side of (2) and thus completes the proof. \qed

**Theorem 2:** Under the same conditions on $D$ and $\vec{A}$ as in Theorem 1, the following identity holds:
\begin{equation}
\int_{\partial D} \hat{n} \cdot [\vec{A} \times (\nabla \times \vec{A})] + (\nabla \times \vec{A}) \cdot \vec{I} \, d\ell = \begin{cases} jk\nabla' \times A(\vec{p}') & \vec{p}' \in D \\ 0 & \vec{p}' \notin D \cup \partial D. \end{cases} \tag{3}
\end{equation}
where $m = 1, 2$.

The proof of Theorem 2 is similar to that of Theorem 1 and is omitted here for brevity.
Then \( \vec{H}(y, z) = -(1/j\omega Z) \vec{V} \times \hat{z} \) and \( \vec{H}_{inc}(y, z) = Y_0 (-\vec{y} \cos \theta + \hat{z} \sin \theta) e^{j k_0 (y \sin \theta + z \cos \theta)} \). Then \( \vec{H}(y, z) = -(1/j\omega Z) \vec{V} \times \hat{z} \) and \( \vec{H}_{inc}(y, z) = Y_0 (-\vec{y} \cos \theta + \hat{z} \sin \theta) e^{j k_0 (y \sin \theta + z \cos \theta)} \), where \( \theta \) is the angle between the positive z-axis and the propagation direction of the incident field.

Correspondingly, we have

\[
\begin{align*}
\vec{n} \times \vec{H}(\vec{r}) &= \frac{1}{j k_1 Z_1} \frac{\partial u}{\partial n} \hat{x}, \quad \vec{r} \in S \\
\hat{z} \times \vec{H}(\vec{r}) &= \frac{1}{j k_1 Z_1} \frac{\partial u}{\partial z} \hat{x}, \quad \vec{r} \in \sigma \\
\hat{z} \times \vec{H}_{inc}(\vec{r}) &= \vec{E}_{inc}(\vec{r}) = Y_0 \cos \theta e^{j k_0 y \sin \theta} \hat{x}, \quad \vec{r} \in \sigma
\end{align*}
\]

(8)

We substitute (8) and (5) to get a scalar equation. But first, we consider for \( \vec{r} \in \sigma \). Note that has only \( \hat{y} \) and \( \hat{z} \) components, while \( \hat{z} \times \vec{H} \) is directed, so we have \( \vec{n} \times \vec{H} = 0 \) \( \forall \vec{r} \in \sigma \). Thus, the left side of (5) reduces to \( \frac{1}{j k_1 Z_1} \frac{\partial u}{\partial z} \hat{x} \) while the right-hand side of (5) is \( \frac{1}{j k_1 Z_1} \frac{\partial u}{\partial n} \hat{x} \). Hence, we obtain

\[
\int_{AB} \frac{\partial u(\vec{r})}{\partial n} G_1 d\ell = \frac{1}{2} u(\vec{r}^\prime) \quad \text{for} \quad \vec{r}^\prime \in \sigma
\]

(10)

where \( G_m = G\mid_{k = k_m}, m = 0, 1 \). Similarly, we substitute (8) into (6) and (7) and obtain the remaining scalar equations

\[
\begin{align*}
\int_{\sigma} u(\vec{r}^\prime) \left[ k_0^2 G_1 - k_0^2 G_0 + \frac{\partial^2(G_1 - G_0)}{\partial z^2} \right] d\sigma + \\
\frac{1}{2} \left( 1 + \frac{k_0}{\mu} \right) \frac{\partial u(\vec{r}^\prime)}{\partial z} - \int_{S} \frac{\partial u(\vec{r})}{\partial n} \frac{\partial G_1}{\partial n} ds \\
= j k_0 \cos \theta e^{j k_0 y \sin \theta} \quad \text{for} \quad \vec{r} \in \sigma
\end{align*}
\]

(11)

and

\[
\begin{align*}
2 \int_{\sigma} \frac{\partial u(\vec{r}^\prime)}{\partial z} \cdot \nabla G d\sigma + \int_{S} \frac{\partial u(\vec{r}^\prime)}{\partial n} \\
\left( n_y \frac{\partial G_N}{\partial y} + n_z \frac{\partial G_D}{\partial z} \right) ds \\
= -\frac{1}{2} \frac{\partial u(\vec{r})}{\partial n} \quad \text{for} \quad \vec{r} \in S.
\end{align*}
\]

(12)

IV. NUMERICAL SOLUTION

In this section, we employ the method of moments (MoM) [14] to find an approximate solution to (10)–(12). Pulse-basis functions and delta testing functions are used to reduce the complexity of the matrix element computations.

To demonstrate the MoM implementation of our problem, we present, without loss of generality, the approximation scheme for (10). We use the nodes \( (y, z, \ell, \ell) \) to denote the \( (y, z) \) coordinates and \( \ell \) the arc length of the nodes along the perimeter of the trough. Let there be \( N_1 \) segments on the aperture \( \sigma \) and \( N_2 \) on \( S \) as shown in Fig. 2. We define the two unknowns in (10)

\[
\frac{\partial u(\ell)}{\partial n} = \sum_{n=1}^{N_1+N_2} a_n P_n(\ell), \quad \vec{p}(\ell) \in \sigma \cup S, \quad \text{and}
\]

\[
u(\ell) = \sum_{n=1}^{N_1} b_n P_n(\ell), \quad \vec{p}(\ell) \in \sigma
\]

where the pulse function \( p_n(\ell) \) is unity for \( \ell_n \leq \ell \leq \ell_{n+1} \) and zero elsewhere. We use the delta testing functions \( \delta(\ell - \ell_{m+1/2}) \) where \( \ell_{m+1/2} = (\ell_m + \ell_{m+1})/2 \). Thus, (10) is discretized as

\[
\sum_{n=1}^{N_1+N_2} a_n \int_{\ell_n}^{\ell_{n+1}} G_1(\ell, \ell') d\ell - \frac{1}{2} \sum_{n=1}^{N_1} b_n P_n(\ell) = 0.
\]

Taking the inner product with the testing functions on both sides of the equation and using the sifting property of the delta function yields

\[
\sum_{n=1}^{N_1+N_2} a_n \alpha_{m+n} - \frac{1}{2} b_m = 0
\]

(13)

where \( \alpha_{m+n} = \int_{\ell_n}^{\ell_{n+1}} G_1(\ell, \ell_{m+1/2}) d\ell \) and \( m = 1 \cdots N_1 \). Using matrix notation we construct the equation at the bottom of the page. Similarly we produce \( A_2 \) and \( A_3 \) using (11) and (12), resulting in the matrix system \( Au = f \) where

\[
A = [A_1 A_2 A_3]^T, \quad u = [a_1 \cdots a_{N_1+N_2} b_1 \cdots b_{N_1}]^T \quad \text{and} \quad f = [0 \cdots 0 f_1 \cdots f_{N_1} 0 \cdots 0]^T
\]

The nonzero elements of \( f \) are found by evaluating the right side of (11) at the match points. The matrix system may then be solved to find the expansion coefficients \( \{a_n\}_{n=1}^{N_1+N_2} \) and \( \{b_n\}_{n=1}^{N_1} \).

V. NUMERICAL RESULTS

In this section, we present some numerical results for the case of an unfilled cavity; that is, where \( k_0 = k_2 \). The first experiment is for a rectangular trough and the results are compared to those from a hybrid FE/BI technique [15]. We also demonstrate the solvability of the problem using our formulation and compared it to the GNF approach. Our second experiment is for a V-shaped trough and the results are compared to the EFIE implementation [16].

A. Test Case I

We examine a rectangular trough, 1.2 m wide \( \times \) 0.8 m deep, illuminated by a 300 MHz TM plane wave. We use ten pulses per wavelength, resulting in a 28 \( \times \) 28 matrix. These results
are compared to the results of the FE/BI technique and are shown in Fig. 3.

This experiment shows an excellent agreement between the results produced by our integral equation method and that by the hybrid FE/BI technique; however, the latter involves meshing the entire cavity area and, thus, is much more computationally expensive than the former where only the perimeter of the cavity is discretized.

We note also that conventional integral equation based methods used to analyze a trough in a ground plane are based on the generalized network formulation [1] in which the scattering domain is partitioned into an interior region (the trough) and an exterior region (the half-space above the ground plane). An integral equation is written for each region separately and the two integral equations are coupled by enforcing field continuity across the aperture. An unfortunate byproduct of this partitioning scheme is the introduction of spurious resonances at frequencies corresponding to the cavity resonances of the interior region. At these frequencies, the generalized network formulation breaks down and the resulting coupled integral equations are not uniquely solvable.

In the moment method, the presence of a spurious resonance manifests itself as an ill-conditioned impedance matrix.

We now demonstrate that the integral equations (10)–(12) are uniquely solvable even at frequencies which are troublesome for the GNF-based methods. The interior region is resonant at approximately 225 MHz, corresponding to the cutoff frequency of the TM_{11} and TE_{11} rectangular waveguide modes. Near this frequency, the condition number of the matrix for the interior region of the generalized network formulation becomes very high, as shown in Fig. 4(a). In contrast, the condition number for the matrix for the integral equations used here is very stable, as shown in Fig. 4(b). This is a direct result of our method of construction, which avoids the partitioning of the domain as is done in the GNF.

B. Test Case II

We examine a V-shaped trough, 1.2 m wide × 0.8 m deep, illuminated by a 300 MHz TM plane wave. The geometry is illustrated in Fig. 5.

To implement the EFIE method to model a geometry involving an infinite ground plane, we employ vector background subtraction (VBS), a standard measurement technique. We design a finite test body to mimic the infinite ground plane. To reduce the scattering from the test body, a 2000 Ω resistor card of length 4 m is attached on each side of the perfect electric conductor to form a total length of 24 m. The results from both our integral equation method and the EFIE method are shown in Fig. 6. The oscillating effect evident in the EFIE approximation is a product of the interaction between the cavity and the edge (R-cards). In particular, the method fails near grazing incidence due to scattering from the bottom

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2 Vector background subtraction is a process to isolate the scattering due to a component of a larger body. First, the complex scattered field from the larger body with the component removed is determined. Then the complex scattered field from the larger body with the component installed is determined. The coherent difference between the two fields is attributed to the component alone. In actuality, the difference is the sum of direct scattering by the component and interactions between the component and the larger body. In many cases, the larger body is designed to minimize these interactions relative to the component scattering and so they may be neglected.
of the test fixture. However, the results from the new integral equations correctly predict the cavity scattering for all angles. This is due to the fact that the new integral equations are built on the Green’s function for the conducting ground plane.

VI. CONCLUSION

We have developed a set of coupled integral equations to describe the electromagnetic scattering from a material-filled trough in an infinite ground plane. The integral equations involve only the tangential field components on the bounding surface of the trough interior. The MoM is used to find a numerical solution to the integral equations when the trough is excited by an incident plane wave. The accuracy of the technique has been demonstrated through comparisons with other methods such as the GNF, hybrid FE/BI, and EFIE schemes. Furthermore, we have shown that the new integral equations are immune to the problem of nonuniqueness due to spurious resonances.

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REFERENCES


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